PTO/SB/08A (07-05)

FEB 1 2 2007 Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it contains a valid OMB control number.

& PRADE!

٠٠٠ 🕻

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/747,754				
Filing Date	December 29, 2003				
First Named Inventor	Arnold PLONSKI				
Art Unit	3624				
Examiner Name					
Attorney Docket Number	004881 106357				

U. S. PATENT DOCUMENTS Name of Patentee or Examiner Cite **Document Number** Publication Date Pages, Columns, Lines, Where MM-DD-YYYY **Applicant of Cited Document** Relevant Passages or Relevant Figures Appear Number-Kind Code^{2 (d known)} ^{US-} 6,064,985 06/16/2000 /T.H./ Anderson US US-US-US-US-US-US-US-US-US-U\$-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	To
		Country Code ³ Number ⁴ Kind Code ⁵ (il known)			Or Neisvalle rigules Appear	
					·	
	_					

Examiner Signature	/Thomas Hammond III/	Date	09/19/2007
Signature	/ Homas Hammona in	Considered	03/13/2001

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

INFORMATION DISCLOSURE CITATION

Docket Number (Optional)	Application Number				
004881.106357	N/A				
Applicant(s)					
Applicant(s)					
Filing Date					

		(Use several sheets if necessar	לימ					····			
			Filing Date H	erewith	G	Group Art Unit					
				U.S. PAT	ENT DOCUMEN	тs	· · · · · ·				
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE		NAME		CLASS	SUBCLASS		DATE OPRIATE	
/T.H./		US 2002/0174056 A1	11/21/02	Sefein e	et al.					OTIGATE	
/T.H./		US 2003/0069821 A1	4/10/03	Williams							
/T.H./		6,064,985	5/16/00	Anders	on						
						-					
									l		
		,									
					·						
	,										
				FOREIG	N PATENT DOC	UMENTS				_	
	REF	DOCUMENT NUMBER	DATE		COUNTRY		CLASS	SUBCLASS	Translation YES NO		
	-										
			<u> </u>	<u> </u>						·	
								ate, Pertinent Pa	ges, Etc.)		
/Т.Н./		"Strategies for Equity In	avestors-Covere	d Combina	ition", E*Trade	Canada, pg	s. 1-6				
EXAMINE	R	/Thomas Hammond III/			DATE CONSIDERED 09/19/2007						
EXAMINE	R: Init	al if citation considered, whether o	r not citation is i	n conforman	ce with MPEP Se	ction 609; Dr	raw line throug	h citation if not	in conform	ance and	

not considered. Include copy of this form with next communication to applicant.